

# internal controls & risk advisory services



Process and controls are part of the root structure that supports your business and allows it to withstand challenges, grow and thrive. If you are used to thinking about process as rigid, confining and bureaucratic, you will find a fresh perspective with OTB Advisory.

Organizations have "foundational" processes such as decision-making or change management, and function-specific processes such as lead generation, procurement or accounts payable. The extent to which these processes rely on flexible frameworks versus rigid rules is a critical decision for user adoption and organizational effectiveness. Effective processes manage key risks and drive consistent results while providing flexibility for growth and innovation.

## OUR SERVICES

Using a flexible approach and decades of experience in complex process development and audit, we help design, automate and execute systems of internal controls to safeguard against risk, ensure accuracy and completeness of information, and maintain compliance with both Canadian and US regulatory requirements. OTB Advisory delivers a complete understanding of risk and helps create an environment that manages it.

### Internal Audit Services

- Internal audit function development and enhancement
- Quality assessments and assurance
- Risk assessments
- Internal audit project plans, programs and testing
- Cross-functional alignment with stakeholder needs (finance and operational audits)

### Internal Controls and Process Development and Execution

If you are facing ineffective processes or controls, or changes due to new risks and opportunities, we can help you leverage best practices and create modern solutions for your unique culture.

- Risk assessments; risk and control matrices
- Stakeholder impact assessments
- Internal control assessments and reports (NI 52-109)
- Complete process workbooks, guidelines and desk procedures with built-in controls that address key risks
- Process maps and flowcharts
- Regulatory compliance (SOX 404 and equivalents)
- Cross-functional integration and automation (including system selection and implementation)
- Data use optimization

OTB Advisory will continue to support your organization if your needs change or you require ongoing compliance, assurance or testing services.

## ABOUT OTB ADVISORY

Elena Bowes founded OTB after more than 20 years of working for several multinational accounting organizations. Using all of her knowledge and developing her own unique methodologies, she created OTB to realize positive change and out-of-the-box thinking for each client, helping them to reach their potential, strategically adapt to changing markets, and achieve sustainable growth.

## CONTACT US

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